

SUBJECT: U.S. Coast Guard - Port State Control Regime: Pre-Arrival Checklist for Vessels calling to the United States of America

REFERENCE:

- a) <u>Directive 001 2014</u> Port State Control Reporting Directive 2014
- b) <u>Miscellaneous Circular 2014-004</u> PSC defect reporting
- c) International Safety Management (ISM) Code (1998), as referred to by the International Convention on the Safety of Life at Sea (SOLAS) (1974), as amended.
- d) United States Federal Regulations, as referred to in the U.S. Coast Guard Marine Safety Manual Vol. II
- e) <u>Antigua and Barbuda Merchant Shipping Act (MSA) 2006</u> Section 115 and associated Regulations (Statutory Instruments, Directives and Circulars, as applicable)
- f) Other related International Regulations concerned, e.g., MARPOL, STCW, MLC (as applicable)
- **TO:** Ship-owners, operators, masters and officers of Antigua and Barbuda flagged ships, and recognized organizations

1. PURPOSE

This Circular provides all ADOMS' clients and relevant stakeholders with information in respect of the U.S. Coast Guard Foreign Vessel Inspection and Compliance Policy and to implement relevant procedures and measures to be more prepared.

The aim is to increase the performance of all clients, stakeholders, their vessels, and this administration, to prevent undue delays and detention.

2. APPLICATION

This Circular applies to all Antigua and Barbuda flagged Ships.

3. BACKGROUND

The United States federal regulations provide the authority to the United States Coast Guard to perform inspections on foreign flagged vessels when calling at US ports.

Details can be obtained from the Policy and Guidance Section as published by the U.S. Coast Guard Port State Control Division.

See also:

https://www.dco.uscg.mil/Our-Organization/Assistant-Commandant-for-Prevention-Policy-CG-5P/Inspections-Compliance-CG-5PC-/Commercial-Vessel-Compliance/Foreign-Offshore-Compliance-Division/PSC1/

In order to enhance the performance of Antigua and Barbuda flagged vessels in respect of compliance with relevant provisions, when inspected by the U.S. Coast Guard, ADOMS clients and stakeholders will be required to implement procedures as outlined in this Circular, prior to and when calling at any U.S. ports.

4. OBLIGATIONS AND GUIDANCE/RESPONSIBILITES

- 1. It is the Shipowner's primary responsibility to ensure the compliance of their vessels registered under the flag of Antigua and Barbuda with all national and international requirements, as applicable. It is then the Master's responsibility to ensure that this is carried out on the vessel under his/her command.
- 2. Where the operation of a vessel has been delegated fully or partially to third parties, then they are considered responsible for ensuring compliance to the extent of the relevant requirements applicable to and within the scope of their mandate. It is again deemed the Master's responsibility to ensure the former is being applied on the vessel under his/her command.
- 3. Guidance for Masters, Officers, Shipowners and Ship Managers when calling at a U.S. Port, in general:
 - 1. Owners, Managers and Crews must be aware of the status of their Vessel, Shipping Company and Charterer as per the targeting scheme of the U.S. Coast Guard for Port State Inspection. Information can be obtained from: <u>https://www.dco.uscg.mil/Our-Organization/Assistant-Commandant-for-</u> <u>Prevention-Policy-CG-5P/Inspections-Compliance-CG-5PC-/Commercial-</u> <u>Vessel-Compliance/Foreign-Offshore-Compliance-Division/Port-State-</u> <u>Control/targetmgmt/</u>
 - 2. Masters and Officers should be familiar with the U.S. Coast Guard Inspection Guidelines / Job Aids, pertaining to their particular type of vessel. Information can be obtained from: <u>https://www.dco.uscg.mil/Our-Organization/Assistant-Commandant-for-Prevention-Policy-CG-5P/Inspections-Compliance-CG-5PC-/Commercial-Vessel-Compliance/Foreign-Offshore-Compliance-Division/Port-State-Control/jobaid/</u>
 - 3. It should be ensured that all documentation is well kept, organized, available and presentable. A standard of tidiness shall also apply to the vessel, its equipment and crew. This begins at the gangway or embarkation ladder, where any visitors, e.g. boarding inspectors, shall be identified, issued a visitors' card, logged and then accompanied to the ship's or master's office, as required.

- 4. The checklist, as contained in the annex to this Circular on pages 4 to 7, shall be completed by the vessels relevant management / officers, signed, dated and confirmed by the master / chief officer and the responsible Designated Person Ashore (DPA)/ Deputy DPA, prior to any port call in the United States of America. It shall be filed and kept available by the company for at least three years. The correct completion of this checklist may be subject to a further check by flag State inspectors during their required inspections and the details will be checked against the related PSC Report of Inspection forms, as retained onboard. In addition, flag Recognised Organisations (ROs) may also review the correct completion of this checklist, during their ship and company ISM audits and a non conformity (NC) may be raised if not in compliance.
- 5. Exemptions from this requirement may be requested for vessels calling at the U.S. more frequently than twice per month or every fourteen days. Such are evaluated by the ADOMS Technical Division and approved on an individual basis, considering the previous PSC performance of the subject vessel.
- 6. Note: All the above mentioned links and related information made available by third parties are subject to change. ADOMS therefore bears no liability for comprehensiveness and correctness, hence interested parties shall keep track of any changes.
- 4. When being inspected by Port State Control:
 - 1. Both an opening and closing meeting shall be required, where all key staff shall be present unless special duty, leave or rest requires otherwise, in which case this must be explained. All parties shall be given an opportunity to properly introduce themselves. The intended schedule and procedures of the inspection shall be outlined and noted, in advance.
 - 2. During the opening meeting the inspectors shall be informed of any deficiencies, shortcomings, malfunctions, ongoing maintenance, exemptions, and temporary permissions etc. in advance. This should be recorded. During the inspection, the escorting officers should take notes on any mentioned or recorded deficiencies and report these at once to the Master. Any shortcomings should be addressed immediately and, if possible, corrected on the spot. During the closing meeting the results should be discussed, and any ambiguities should be clarified. It shall become clear what the specific deficiencies (if any) are and if the vessel will be detained or not.
 - 3. Report the inspection to the company's DPA/Management and ADOMS (<u>reporting@adomsiid.org</u>), if required. The checklist contained in the Annex shall be included.

Issued by

Antigua and Barbuda Department of Marine Services and Merchant Shipping (ADOMS) St. John's

ANNEX

PSC Pre-Arrival Checklist for Vessels calling to the U.S.

| Inspection Item | Potential Deficiency | Check / Result | Comment(s) |
|---|--|----------------|------------|
| Last U.S. Port State Control Inspection | Last Port State Control Inspection in the United States (Place & Date): | | |
| Ship's Statutory Certification and | Next ASI Due: | | |
| Certification and Inspections | Next Class Survey Due: | | |
| EPIRB & SART | Battery Expiry Date / Last testing: | | |
| Certification for Officers | All Officers holding either an Antiguan and Barbudan CoC, Endorsement or Confirmation of Application? | YES 🗆 NO 🗆 | |
| Certification for Crew | All Ratings required by the MSMC hold an Antiguan and Barbudan Seafarers Book and their valid national CoC? | YES 🗆 NO 🗆 | |
| Manning | Vessel is manned in compliance with the Minimum Safe Manning Certificate? | YES 🗆 NO 🗆 | |
| Insufficient hours of rest and possible fatigue | Rest periods comply with STCW requirements? Note: The period is over any 7 days and not one calendar week. | YES 🗆 NO 🗆 | |
| Provisions | Provisions adequate for the intended voyage? | YES 🗆 NO 🗆 | |
| Allotments | Records on board indicate allotments are made out to all crew up to the end of last month? | YES 🗆 NO 🗆 | |
| Wages | All ship's crew been paid their wages in full up to the last month? | YES 🗆 NO 🗆 | |
| Crew Complaints | Does the Crew have any complaints under MLC outstanding or pending? | YES 🗆 NO 🗆 | |
| Last drills carried | Fire Drill: | | |
| out | Abandon Ship Drill: | | |
| | Enclosed Space Entry Drill: | | |
| | Date lifeboat and Rescue boat lowered/maneuvered in the water. All attempts, even unsuccessful, recorded in log book? | YES 🗆 NO 🗆 | |
| | Equipment inside the lifeboat(s), rescue boat complete, no expired equipment inside the lifeboat(s) and rescue boat? | YES 🗆 NO 🗆 | |

| | Last L R Engine Run / Steering Test | <u></u> | <u> </u> |
|--|---|------------|----------|
| | Last LB Engine Run / Steering Test : | | |
| | Last RB Engine Run / Steering Test : | | |
| Lifeboat launching appliances | Corrosion or wastage of lifeboat davit or of sheaves and hooks, etc.? | YES 🗆 NO 🗆 | |
| | Lifeboat and rescue boat davit(s) incl. on load release gear operational? | YES 🗆 NO 🗆 | |
| | On load release gear operating normally? | YES 🗆 NO 🗆 | |
| | On load release gear properly set? | YES 🗆 NO 🗆 | |
| Life-Rafts | Hydrostatic Release Unit Expiry Date(s) o.k.? | YES 🗆 NO 🗆 | |
| Launching/Embark ation Instructions | All launching and embarkation instructions posted next to the lifeboats and life-rafts? | YES 🗆 NO 🗆 | |
| Charts/ Publications | All Charts / No. at largest scale available for desired Voyage? | YES 🗆 NO 🗆 | |
| | All required publications up to date? | YES 🗆 NO 🗆 | |
| If ECDIS listed in the Record of Ship's Equipment | ECDIS being used for Navigation? | YES 🗆 NO 🗆 | |
| | All required ECDIS charts and electronic publications available and updated? | YES 🗆 NO 🗆 | |
| | Are the officers in charge of navigational watch appropriately trained and competent for ECDIS? | YES 🗆 NO 🗆 | |
| Maintenance and Safety Management | Is the ship clean and orderly and do the records reflect that the SMS is being followed? | YES 🗆 NO 🗆 | |
| Hull and weathertight | Hull and structure in sound condition? | YES 🗆 NO 🗆 | |
| closures | All hatches, doors, air pipes, vents, deadlights etc. in good condition and sealing correctly? | YES 🗆 NO 🗆 | |
| Fire dampers, closing devices, remote controls, etc. | Handles and wires in good condition? | YES 🗆 NO 🗆 | |
| | All dampers and closing devices, incl. fire screen doors, and watertight doors closing correctly? | YES 🗆 NO 🗆 | |
| Fixed pressure / Water-spraying and Water-mist Fire- Extinguishing Systems | Water supply valve to automatic pump open and system charged? | YES 🗆 NO 🗆 | |
| | Fixed pressure Water-spraying / Water-mist Fire-Extinguishing System operational? | YES 🗆 NO 🗆 | |
| | E/R fixed FF System operational? | YES 🗆 NO 🗆 | |

| E/R fixed CO2 firefighting system | Temporary safety pins used for installation and servicing removed and bottles connected? | | |
|--|---|------------|--|
| Emergency Alarms | Smoke/Flame Detectors Main Engine Oil Mist Detector | | |
| | Fire Alarm Panel operational? | | |
| Fire doors | Fires doors self-closing as required and locks fully engaged in door frames? | | |
| Fire Hoses and Nozzles | No leaking, wear and tear, corrosion? | YES 🗆 NO 🗆 | |
| Fire Pumps / Emergency fire pump | Pumps individually tested with 2 hoses supplying water to the extremities w. enough pressure? | | |
| Marking of lifesaving and emergency equipment storage, vents, air pipes, etc. | All equipment storage clearly marked and in proper condition? | YES 🗆 NO 🗆 | |
| Cleanliness of E/R | E/R clean? No oil leaks, clean bilges and tank tops, no oily rags, no buckets and/or storage containers below machinery or pipes? | YES 🗆 NO 🗆 | |
| | All bilge alarms in all engine and machinery spaces are operational? | | |
| Quick Closing Valves | Quick Closing valves operational? | | |
| Sewage treatment system | Sewage treatment system operational? | YES 🗆 NO 🗆 | |
| Use of compliant fuel | Vessel trading in Emission Control Area has compliant fuel on board and initiated changeover procedures? No HFO piping connected to MDO storage and vise-versa? (unless class approved) | YES 🗆 NO 🗆 | |
| Garbage disposal (MARPOL Annex V) and record keeping | All waste disposal in accordance with requirements? Garbage record book accurately filled out? | YES 🗆 NO 🗆 | |
| | Last Testing and Operation: | | |
| Emergency generator or self- contained power source for emergencies | Emergency generator properly connected to emergency switchboard? | | |
| | Both means of starting in o.k.? | YES 🗆 NO 🗆 | |
| | Self-contained power sources onboard (If any) are operational? | | |
| | Low insulation alarm properly set, no alarms on main and emergency switchboard? | | |
| Oily water | OWS operational? | | |
| separator & 15 ppm alarm. | Last operation | | |
| | Wasted discharge line? | YES 🗆 NO 🗆 | |

Miscellaneous Circular No. 2018 – 005 Rev 6 22 December 2022

| | Inside of discharge pipe oily and dirty? | YES 🗆 NO 🗆 | |
|------------------------|--|------------|--|
| | No by-pass line fitted to any oil filtering equipment? | YES 🗆 NO 🗆 | |
| | 15 ppm alarm operable? | YES 🗆 NO 🗆 | |
| | Automatic stopping device operable? (3 Way valve/pump stop/dual valves). | YES 🗆 NO 🗆 | |
| | C/E and 2/E able to demonstrate the operation of the OWS? | YES 🗆 NO 🗆 | |
| | Electronic record in the monitor reflect recordings in the oil record | YES 🗆 NO 🗆 | |
| Hydrostatic Testing | Bunker / Ballast / Cargo Line Hydrostatic Test Pressure & Date | YES 🗆 NO 🗆 | |
| Emergency Lighting | All emergency lighting operational? | YES 🗆 NO 🗆 | |
| Emergency Exits | Emergency exits are free of any obstructions on the entire escape route? | YES 🗆 NO 🗆 | |
| | | | |

Existing dispensations/exemptions/ temp. permissions from the Administration, if any:

Condition(s) of Class, if any:

Outstanding deficiencies from last Port State Inspection, if any:

Comments, if any:

(e.g. regarding defective equipment, missing certificate, lack of provisions, missed servicing, testing or inspections)

We herewith jointly certify that, prior to this port arrival, except as noted in the, all above items and other systems and equipment are either:

- **a.** in full compliance with all applicable international and specific regulations *or*
- **b.** all mentioned deficiencies have been reported to the company/shore-based management and authorities (if applicable, incl. ADOMS) and corrective action has been initiated.

Vessel Name and IMO No:

(Stamp)

| Masters / Chief Officers signature | Date |
|--|------|
| DPA / Deputy / Alternate DPA signature | Date |